

# A. Organization & Contact Information

**Case Id:** 16205  
**Name:** Phoenix Rising - 2023/24  
**Address:** \*No Address Assigned

Completed by [phillip.e.weiner@nccourts.org](mailto:phillip.e.weiner@nccourts.org) on 11/17/2022 4:35 PM

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## A. Organization & Contact Information

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The Request for Proposals and additional materials to assist with completing the application can be found on the City's webpage for [Community Agencies](#)

### A.1. Organization Name

Phoenix Rising of Winston Salem, Inc

### A.2. Project/Program

Drug Treatment Court

### A.3. FY 2023-24 Funding Request Amount

\$10,000.00

### A.4. Agency's Total Operating Budget

\$35,000.00

### A.5. Mailing Address

200 N. Main St, Rm. 300-A Winston-Salem, NC 27120

### A.6. Project/Program Location Address

PO Box 24113 Winston-Salem, NC 27103

### A.7. Organization Website

<http://www.phoenixrisingwinstonsalem.org/>

### A.8. Year 501(c)(3) status obtained

2017

### A.9. Organization Fiscal Year

July 1 - June 30

### A.10. Federal Tax ID Number

87-0874582

### A.11. Federal DUNS Number

N/A

### EXECUTIVE DIRECTOR/MANAGER

#### A.12. Name, Title

Kerri Sigler, President

#### A.13. Email

kerrisigler@gmail.com

#### A.14. Phone

(336) 263-0709

### CONTACT

#### A.15. Name, Title

Phillip Weiner, Court Coordinator

#### A.16. Email

phillip.e.weiner@nccourts.org

#### A.17. Phone

(336) 779-6623

### BOARD CHAIR

#### A.18. Name

Kerri Sigler

#### A.19. Term Expiration

12/31/2023

#### A.20. Email

kerrisigler@gmail.com

#### A.21. Phone

(336) 263-0709

## B. Project Overview

Completed by [phillip.e.weiner@nccourts.org](mailto:phillip.e.weiner@nccourts.org) on 11/17/2022 4:36 PM

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### B. Project Overview

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Please provide the following information.

#### APPROACH (7 POINTS)

##### **B.1. Provide a concise description of the proposed project/program, indicating specifically how City funds will be used. Briefly, what are the goals/objectives of the project/program?**

Phoenix Rising of Winston Salem, in partnership with the City of Winston Salem, funds Drug Treatment Court (DRTC). DRTC provides treatment-based sentencing options to addicted criminal defendants. Sentencing options are all post-plea. DRTC is a form of intensely supervised probation designed to help defendants overcome their addictions and reduce crime and recidivism in the community.

Goal 1: Assist Participants in Recovery from Illicit Drug and Alcohol Use

Objective: Requiring engagement in treatment by licensed clinicians based off the recommendations of evidence-based assessments.

Objective 2: Requiring frequent and random drug testing.

Goal 2: Improve the Quality of Participant's Lives

Objective: Assist participants in obtaining housing, pursuing education, and securing employment while in the DRTC.

Objective 2: Connecting participants to community-based resources to meet their specific needs.

Goal 3: Reduce Criminal Recidivism

Objective: Requiring frequent and more intensive court supervision, with swift sanctions for violations.

Objective 2: Providing the resources, support, and continuation of care to foster success post-graduation.

Goal 4: Return Assets to the Community

Objective: Enable participants to be productive members of society by improving familial relationships, facilitating involvement of prosocial activities, and encouraging volunteerism.

##### **B.2. How will a participant access the proposed project/program, use the services, and derive a beneficial outcome from participation?**

Addicted criminal defendants can apply to the DRTC program by self-referral to Court Coordinator, Phillip Weiner, or may request a referral from their attorneys or probation officers. Community resources and providers can also make

referrals as well. Once referred, applicants are screened by licensed addiction specialists at Full Life Counseling, an independent contractor of Phoenix Rising. Full Life recommends treatment based on the defendant's addiction, insurance, and other needs. The DRTC requires that applicants follow the recommended treatment from the assessment to be eligible. While they are in the program, participants may have to get re-assessed after a relapse to ensure there is a proper treatment-oriented response.

#### **NEED (7 POINTS)**

##### **B.3. Describe the unmet need that the proposed project/program seeks to address. Include data supporting the need.**

Forsyth County and the City of Winston-Salem continues to fund and implement innovative ways to combat addiction using evidence-based practices such as the DRTC. Recent criminal appointments suggest a surge in drug-related criminal charges, especially during the COVID-19 pandemic. DRTC is the ONLY court-supervised sentencing option focused on treatment and offering intense supervision. It is estimated that one year of DRTC costs approximately \$2,000 per offender, whereas one year in jail costs upwards of \$36,000 per offender based on statistics from the DOC website. DRTC's graduates have a 15% percent recidivism rate which far exceeds the general population of criminal defendants. Thus, DRTC saves money while solving the underlying problems of addiction and addiction-related crime. The DRTC hopes to provide eligible defendants the treatment, structure, and accountability needed to live a life in recovery. In turn, this will end the cycle of recidivism and return productive, law-abiding citizens to the community. With the support of community funding, the DRTC will have the means to support participants and provide them with the tools they need to be successful.

#### **COLLABORATION (6 POINTS)**

##### **B.4. Describe any specific collaborative relationships with other organizations (public or private) and how they will impact the project/program. How will collaboration contribute to the planning, implementation, operation, oversight, and performance measurement of the proposed project/program?**

In addition to our collaboration with the City, Phoenix Rising employs Full Life Counselling as an independent contractor. Full Life assesses each applicant to DRTC to determine whether they are clinically appropriate for the DRTC program and recommends treatment that is right for them based on their needs. The DRTC is partnered with Wellpath Community Care as a primary provider to deliver the services that Full Life recommends. This is typically intensive outpatient therapy (IOP), individual counseling, medication management, and group therapy. The program also utilizes services from Winston-Salem State University's Behavioral Health and Community Unit (BeHCU) for peer-support, continuing care, physical health needs, and substance use/mental health counseling. Having these partnerships ensures the participants are getting treatment they need in accordance to assessment recommendations.

## C. Strategy and Performance

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## C. Strategy and Performance

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Please provide the following information.

### STRATEGY (5 POINTS)

C.1. The City of Winston-Salem's strategic priorities, adopted most recently in the [2017-2021 Strategic Plan \(2019 Update\)](#) and under review for adoption by City Council for FY 2022 - FY 2025 Strategic Plan, are used as guiding principles to establish community priorities based on the vision, mission, and values set forward by the Mayor and City Council.

Indicate which of the City's strategic focus areas your program aligns with best (select one):

Safe and Secure Community

C.2. Select the service area(s) that your project/program relates to:

- Housing/Homelessness
- Economic Development
- Construction Rehabilitation
- Poverty Reduction
- Arts and Culture
- Youth
- Public Safety
- Transportation
- Other

### PERFORMANCE (15 POINTS)

C.3. Describe the system to be used to track participant and program data. List any key reports and their frequency that will be used to capture project/program performance.

DRTC uses Excel to track participant and program data. This data includes phase progression, incentives and sanctions, referral data, and participant data. The Court Coordinator tracks this data at least quarterly. Recidivism is tracked for graduates after 6 months and 12 months.

C.4. Explain the steps that will be taken if the stated program goals provided in C.3. are not achieved.

DRTC engages in quarterly program staff meetings to evaluate effectiveness and implement changes necessary to better achieve its objectives.

**C.5. Use the chart below to show how your agency measures program effectiveness. List goals, activities, and performance measures you will use to evaluate services, facilities, and programs that will be funded by the City. Performance measures can be quantitative and/or narrative.**

**Applicants will be reimbursed funds based upon timely submissions of eligible invoices. These invoices should describe services rendered and should align with the goals and objectives cited here. Where outcomes do not align with goals, please be prepared to provide a written summary of shortcomings.**

Stated Program Goals	Program Activities in Support of Goals	FY 21-22 Previous Year Results	FY 22-23 Current Year Projected Results	FY 23-24 Next Year Anticipated Results
Connect participants to services within the community to advance the goal of achieving stable, long-term recovery	Utilize Full Life Counseling to conduct initial assessments and refer participants to appropriate treatment.	With Court opening back up and Justice being administered, it is expected that the program will receive 50-60 referrals in 2022. The program hopes to accepting and assessing around 30% of them.	DRTC anticipates an increased number of referrals as COVID restrictions continue to lift. It is anticipated it will fall in the range of 80-100 referrals in FY 22-23. DRTC anticipates a slight increase in the percentage accepted - possibly 35% - until DRTC is at maximum capacity of 25 participants.	To continue to strive to increase referrals and participation in the DRTC to reach full capacity. The program hopes to continue accepting ~30% of referrals, helping them achieve long-term recovery and no justice involvement.
Increase the number of participants successfully completing phase one of the 5-phase program	Engaging Full Life Counseling - which recommends the best and most appropriate treatment without regard their personal profit - is anticipated to increase the number of participants who will successfully progress out of phase one. The theory is that when people are in the correct treatment, their chances of success improve. Partnering	Of the nine active participants, 62.5% have completed phase I.	The DRTC currently has seven active participants, and three pending admission. Of our active participants, 71% have completed Phase I.	To continue striving to advance all newly admitted participants through Phase I towards graduation. To review required sobriety time of each phase to ensure it aligns with national standards.

	with Wellpath Community Care will reinforce the quality of services and streamline communication between parties.			
Increase the number of participants successfully completing phase two of the program	DRTC believes that the first two phases of the program are foundational, and successfully completing those first two phases increases overall graduation rates for the program	Of the nine active participants, 62.5% have completed phase II.	The DRTC currently has seven active participants, and three pending admission. Of our active participants, 42% have completed Phase II.	To continue striving to advance all participants through Phase II towards graduation. To review required sobriety time of each phase to ensure it aligns with national standards.

	Total Unduplicated Number Served	Total Number Served
FY 21-22 Previous Years Results	9	19
FY22-23 Current Year Projected Results	16	26
FY 23-24 Next Year Anticipated Results	17	32

**C.6. FY 21-22 Program Accomplishments**

At the end of FY 21-22 the program has celebrated 13 graduates, with a 15% recidivism rate. While the DRTC strives for 0% recidivism these numbers are still encouraging compared to the general population.

**C.7. FY 22-23 Key Objectives**

Increase the number of participants advancing beyond the initial stages of the 5-stage program.

Increase graduation rates without sacrificing quality or expectations.

Strive for a zero percent recidivism rate for graduates

## D. Organizational Capacity

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### D. Organizational Capacity

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Please provide the following information.

#### MISSION (5 POINTS)

**D.1. Provide an overview of the organization. Include the organization's mission statement and the major services, programs, and activities provided. How does the proposed project/program help advance the mission of your organization?**

Phoenix Rising of Winston Salem, Inc is a NC 501(c)(3) non-profit on a mission to battle addiction by supporting drug treatment courts, raising awareness, and facilitating treatment. Phoenix Rising has been one of the two primary funding sources of DRTC since its inception. Phoenix Rising provides a treatment database free on its website that allows users to find treatment options right for them by selecting their needs criteria. Phoenix Rising is also actively involved on social media where it raises awareness by producing and publishing an addiction-focused podcast and sharing addiction-related news and information.

DRTC advances the first, main goal of Phoenix Rising, which is to have successful drug treatment courts in operation at least in Forsyth County and eventually throughout the state.

#### FUNCTION (5 POINTS)

**D.2. How long has your organization been in operation?**

6 Years

**D.3. How does your organization benefit and serve the City of Winston-Salem and its citizens?**

Phoenix Rising seeks to get addicts out of active addiction and into active recovery by funding drug court, allowing them free access to all available treatment options through our website, and using our podcast to raise awareness about addiction and shatter stereotypes and misconceptions. This benefits Winston Salem by returning non-functional members of society to active, productive lives where they contribute to the community by being gainfully employed, housed, and engaged with their families rather than in jail or homeless due to their addictions. In turn this saves taxpayer dollars compared to incarceration, and increases public safety.

#### STRUCTURE (5 POINTS)

**D.4. In the chart below, list key personnel and executive staff involved in the proposed project/program.**

Position Title	Activities/Inputs	Total Work Hours Per Week	% of hours proposed to be funded
Judge	Has overall responsibility for the operations and order of the DRTC. The presiding Judge oversees participant's progress through regular judicial court hearings, team input and participant behavior. Leads the drug court team in decision-making, and holds the participant	10	0.00 %

	accountable for his/her progress by use of incentives and sanctions.		
Court Coordinator	Responsible for the daily operation of DRTC activities. The Coordinator is responsible for maintaining all the DRTC documentation and secures case files on all participants. The Coordinator provides reports for staffing and keeps detailed records of what transpired in court sessions. The Coordinator monitors the meeting of goals and objectives, and tracks data on the participants.	20	0.00 %
Defense Counsel	The designated Assistant Public Defender represents the rights of the participant and acts a liaison to the local defense bar. The attorney will conduct an initial review of the defendant's case, examining the charges and the claimed facts. The attorney will ensure Due Process, examine possible defenses, and discusses any potential sentence and sentencing issues. The defense attorney will advise the client of all his/her rights and benefits of the DRTC.	10	0.00 %
Probation Officer	Provides individual, intensive supervision and a broad range of rehabilitative and case management services. The Probation Officer (PO) attends all staffing and court sessions, providing written summaries on participants at staffing. The PO provides the team with updates on any issues that may affect the participants' progress in drug court. The PO conducts randomized drug testing of participants. The PO will provide valuable insight in recommending sanctions, promotions, or incentives.	20	0.00 %
Phoenix Rising Liaison	Serves as the communications person between Phoenix Rising, DRTC, and the City for the purpose of funding DRTC and its needs.	10	0.00 %
Treatment Provider	Attends all team staffing and provides input on various and appropriate modalities of treatment. The provider ensures treatment compliance of participants, and reviews individual treatment plans as necessary. The provider's agency facilitates weekly group and individual therapy sessions for participants. The Drug Court is currently partnered with Full Life Counseling & Recovery for assessments, Wellpath Community Care, and WSSU's BeHCU for treatment.	20	0.00 %

**D.5. List all executive staff and their compensation (other than per diem).**

Executive Staff Name	Title/Role	Compensation	% of Hours Proposed to be Funded
None	N/A	\$0.00	0.00 %



**D.6. Attach an organizational chart**

**Organizational Chart \*Required**

DRTC ORG CHART 2022-23.docx

D.7. Please complete the Diversity of Employment and the Employment Profile below. See the [Request for Proposals \(RFP\)](#) for definitions of position types used in the Employment Profile.

**Describe the hiring process and how it is structured to provide the most diverse candidate pool. Best practices for accessing a diverse hiring process and candidate pool include:**

DRTC does not employ any staff or executives. All roles are employees of the Administrative Office of the Courts, the Department of Public Safety, the University system, or private providers. All organizations have policies and procedures in place to ensure a diverse hiring process.

**Please enter the total number of Full-Time Positions and Employees you have in the table below, as well as the employee's appropriate race/ethnicity and gender identity.**

	Male - White	Male - Black	Male - Other	Female - White	Female - Black	Female - Other
Executives/Managers						
Professionals	5			3	1	
Technicians						
Office/Clerical						
Laborers/Service Workers						
<b>Total Full-Time</b>	<b>5</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>1</b>	<b>0</b>

**Please enter the total number of Temporary/Part-Time Positions (FTE) and Employees you have in the table below, as well as the employee's appropriate race/ethnicity and gender identity.**

	Male - White	Male - Black	Male - Other	Female - White	Female - Black	Female - Other
Executives/Managers						
Professionals						
Technicians						
Office/Clerical						
Laborers/Service Workers						
<b>Total Part-Time/Temp</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**D.8.**

**Attach a list of all Board Members AND compensation (other than per diem) \*Required**

PROWS Board Members 2019.docx

**D.9. Number of full Board meetings held during the last twelve months**

4

**D.10. Number of Board's Executive Committee meetings held during the last twelve months**

4

**ABILITY (5 POINTS)**

**D.11. If this is an application for new funding, please describe the steps your organization will take to establish an action plan for successful program launch, including appropriate stakeholder training and coordination. Articulate a clear methodology for service delivery within the context of established goals and include a timeline of key action items and approximate dates for delivery.**

N/A

**D.12. Describe your organizations' past success with flexibly responding to unforeseen events, which had the potential to negatively impact deliverables. What were best practices learned, if any? How would you successfully use these practices with the proposed program, if necessary?**

The DRTC strives to align with National Standards to ensure best practices in the program. In September of 2022, the DRTC had the National Drug Court Institute conduct an Operational Tune Up to see what the program could improve on to increase efficiency and effectiveness. From the recommendations, the DRTC re-structured the phases, created a policies and procedures manual, began tracking referral sources, started doing Exit Interviews with graduates, and reached out to leaders in underserved communities to be more inclusive.

**D.13. How does your program's policies/procedures ensure fair treatment, equitable access, and utilization of benefits for all persons, particularly marginalized and underserved groups and communities (i.e., marketing, outreach, eligibility determination and appeals)?**

The policies and procedures manual ensures all criminal defendants have equal access to the program. To ensure there are no disparities an equal opportunity disclaimer was added to the manual with the option of participants to express any grievances to the court. As part of the Tune Up recommendations, the DRTC Coordinator reached out to local pastors in underserved communities to explain the program and provide them with pamphlets and referral procedures.

## E. Cost Effectiveness

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### E. Cost Effectiveness

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Please provide the following information.

#### BUDGET AND FUNDING (10 POINTS)

E.1. Please complete the table to show the organization's operating budget.

Expenditures by Program	Budgeted FY 22-23	Projected Actuals FY 22-23	Proposed Budget FY 23-24
Program Services	\$30,000.00	\$6,000.00	\$10,000.00
Fundraising	\$3,000.00	\$0.00	\$3,000.00
Management and General	\$2,000.00	\$1,000.00	\$2,000.00
<b>Total Expenditures by Program</b>	<b>\$35,000.00</b>	<b>\$7,000.00</b>	<b>\$15,000.00</b>

Expenditures by Category	Budgeted FY 22-23	Projected Actuals FY 22-23	Proposed Budget FY 23-24
Employee Salaries and Wages	\$0.00	\$0.00	\$0.00
Employee Benefits	\$0.00	\$0.00	\$0.00
Facility Rent and Utilities	\$0.00	\$0.00	\$0.00
Training and Conference Registration	\$0.00	\$0.00	\$0.00
Membership and Dues	\$0.00	\$0.00	\$0.00
Travel and Transportation	\$0.00	\$0.00	\$0.00
Grants to Individuals and Organizations	\$30,000.00	\$6,000.00	\$10,000.00
Contracted Fundraising Services	\$3,000.00	\$0.00	\$3,000.00
Goods Purchased for Resale	\$0.00	\$0.00	\$0.00
Other Contracted Services	\$0.00	\$0.00	\$0.00
Other Operating Expenditures	\$2,000.00	\$1,000.00	\$2,000.00
Capital Outlay	\$0.00	\$0.00	\$0.00
<b>Total Expenditures by Category</b>	<b>\$35,000.00</b>	<b>\$7,000.00</b>	<b>\$15,000.00</b>

Revenues by Category	Budgeted FY 22-23	Projected Actuals FY 22-23	Proposed Budget FY 23-24
City of Winston-Salem	\$20,000.00	\$20,000.00	\$10,000.00
Forsyth County	\$0.00	\$0.00	\$0.00
State of North Carolina	\$0.00	\$0.00	\$0.00
Federal Government	\$0.00	\$0.00	\$0.00
Admissions/Program Revenues/Sales	\$0.00	\$0.00	\$0.00

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Memberships	\$0.00	\$0.00	\$0.00
Donations	\$2,000.00	\$3,000.00	\$2,000.00
Foundation Grants	\$15,000.00	\$0.00	\$0.00
Interest and Investment Income	\$0.00	\$0.00	\$0.00
Parent Organization	\$0.00	\$0.00	\$0.00
Other	\$0.00	\$0.00	\$0.00
<b>Total Revenues by Category</b>	<b>\$37,000.00</b>	<b>\$23,000.00</b>	<b>\$12,000.00</b>

Describe any amounts listed under "Other Operating Expenditures" or "Other Revenues." Provide details on any specific federal government revenue sources.

E.2. Has the City of Winston-Salem provided funding in the past? If so, provide a funding history of the most recent five years of City contributions in the table below.

Year	Funding Source	Funding Amount
2020	City of Winston-Salem Community Agency Funding	\$35,000.00
2021	City of Winston-Salem Community Agency Funding	\$20,000.00
2022	City of Winston-Salem Community Agency Funding	\$20,000.00

E.3. Complete the table below to show specific details of proposed City funding and other leveraged funding for the proposed project/program. List each additional funding source for the program.

Activity	Funding Requested from City	Funds from Other Sources	Other Funds Source
Drug Screens	\$3,000.00	\$500.00	Fundraising/Donations
Assessments	\$2,000.00	\$500.00	Fundraising/Donations
Incentives	\$1,000.00	\$500.00	Fundraising/Donations
Graduations	\$1,000.00	\$250.00	Fundraising/Donations
Training	\$3,000.00	\$250.00	Fundraising/Donations
	\$10,000.00	\$2,000.00	

E.4. For each activity and line item above, please provide a short but detailed description of how City resources will be used to carry out proposed programming.

1. Drug Screens: each participant is drug tested 2-3 times per week, with each screen costing an average of \$5 per screen.
2. Assessments: each applicant to DRTC is assessed to determine clinical appropriateness for the program and appropriate treatment options. Each assessment costs \$150.
3. Incentives: participants receive small gifts to reward compliance with the program. Incentives include gift cards, movie passes, bus passes, etc. and cost approximately \$10 each.

4. Graduations: funds cover the nominal costs of DRTC graduations. These costs include food, beverages, printed programs, and framed diplomas.

5: Training: funds sending DRTC Team members to national conferences to assure everyone is trained and up adherent to best practices.

**E.5. Where another stakeholder or agency is providing non-monetary assistance with a particular aspect of your programming, please provide a short description of those activities and how they will supplement the use of City funds.**

N/A

**E.6. If this year's request is different in any way (amount, activities, etc.) from a prior year's request, explain how and why. If you are a new applicant, please describe how you would adjust your project/program if your funding request is not funded at the full amount.**

This year's request is lower than previous requests. This is because the role of court coordinator was originally filled by Insight Human Services, which demanded \$55,000 to fulfill that role. Insight left the program in June 2020, and the role was filled by a clerk in the court's office at no additional cost, therefore significantly reducing the total operational costs of the program. However, it is anticipated that there will be an influx of new participants in 2023 due to the rising rates of addiction post-pandemic. This will lead to an increase in assessments, drug testing, training, and programmatic costs.

#### **SUSTAINABILITY (7 POINTS)**

**E.7. Describe the plan to sustain the project/program funding in future years. Include information about other funding sources to leverage City funds requested.**

Phoenix Rising hosts yearly fundraisers which include the Phoenix Auction and Maddies Miles 5k. These funds (~\$3,000) go directly to supporting Phoenix Rising of Winston-Salem.

#### **BARRIERS (3 POINTS)**

**E.8. Describe any potential programmatic barriers to project implementation (e.g. recruitment or outreach challenges, etc.) and your plans for overcoming them.**

The DRTC is a post-plea program meaning participation comes after cases have been adjudicated. Ideally the program would be pre and post-plea to have a greater incentive to participate. Pre-pleas have involvement of the District Attorney's Office and enable charges to be dismissed after successful completion. Having this ability would increase the number of referrals to the program and require a greater need for services. To overcome this, DRTC Staff will continue to advocate for the program to representatives to show the benefit of DA involvement.

**E.9. Describe any institutional barriers to project implementation (e.g. staff vacancies, pending departures, etc.) and your plans for overcoming them.**

One institutional barrier to project implementation is one of the DRTC's providers, Wellpath, having to cease operations and shut down. Because the majority of DRTC participants receive services there, the program will have to partner with a new agency to provide services. The DRTC is still partnered with WSSU's BeHCU, which can provide assistance if needed. Hopefully by the end of the first quarter of the year a new treatment provider will be established.

#### **AVERAGE COST (5 POINTS)**

**E.10. Use the table below to show the average amount of City funds requested per beneficiary to be served during**

**the year and the average total cost of the service per beneficiary to be served during the year (including all funding sources)**

Proposed funds from the City for this project:	10000
Number proposed to be served for the year:	20
Average City funds per beneficiary:	500
Proposed funds from all sources:	37000
Number proposed to be served for the year:	20
Average total funds per beneficiary:	1850

## F. Required Documents

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## F. Required Documents

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Please provide the following information.

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### Documentation

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**Code of Conduct/Conflict of Interest Policy \*Required**

PROWS City Grant Documents addendum 2020 (1).pdf

**Submit a copy of the agency's latest 990 Form as submitted to the Internal Revenue Service. \*Required**

PROWS\_2019 990EZ (1).pdf

**Organization By-Laws \*Required**

PROWS Amended Bylaws 1 25 18.docx

**Articles of Incorporation \*Required**

Articles of Incorporation for Phoenix Rising of Winston Salem, Inc..pdf

**Organization Policies (including personnel, formal non-discrimination, procurement, accounting, etc) \*Required**

DRTC\_Policies\_and\_Procedures\_Manual.doc

**IRS 501(c)3 Designation Letter \*Required**

PROWS 501c3 Certification.pdf

**Audited Financial statements or third-party review from 2020 and 2021** \*Required

Phoenix Financial Review March 2020.pdf

**North Carolina Secretary of State - Current and Active Status (<https://www.sosnc.gov/search/index/corp>)**

**\*Required**

24068\_2020 current active status.jpeg

**Other**

*\*\*No files uploaded*



## G. Income Based Projects/Services Only

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Address: \*No Address Assigned

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### G. Income Based Projects/Services Only

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\*\* Complete this section only if you are requesting funds for a Community Development project (for CDBG, HOME and/or ESG funding).\*\*

If the section is not applicable to your project, please leave the questions blank and mark the step "Complete."

**G.1. In the right-hand column below, indicate the number of participants to be served by the proposed project/program within each income category during the year. Click [here](#) to see Winston-Salem income limits by household size.**

Income Range	# to be served
0 to 30% of median	0
31% to 50% of median	0
51% to 80% of median	0
Greater than 80% of median	0

**G.2. Describe policies, procedures, and criteria for determining who is eligible. Describe the procedures for screening, eligibility determination, intake, assessment and orientation of participants**

## H. Construction/Rehab Only

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### H. Construction/Rehab Only

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\*\* Complete this section only if you are requesting funds for a Housing Construction or Rehabilitation project. \*\*  
If the section is not applicable to your project, please leave the questions blank and mark the step "Complete."

**H.1. Describe the proposed project and provide plans. If the project is approved, we will need a detailed work write-up.**

**H.2. Provide a projected timeline for the proposed work.**

**H.3. Describe how the project will be managed, including the contractor procurement process.**

**H.4. Describe the target market, including any special populations to be served.**

**H.5. Describe the services or program you plan to provide.**

**H.6. Describe the property management plan.**

**H.7. List the development team members.**

**H.8. Describe the financial capability of the sponsor/owner organization.**

**H.9. Listing of projects undertaken by principals over the past ten years, identifying project name and address, type of project, and number of units; please note any projects for which local government funding was received.**

Project Name	Address	Type of Project	No. Units	Govt Funding
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### Documentation

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**Development budget that include a detailed sources and uses statement of all funds, including the requested loan from the City, in electronic format, preferably a spreadsheet.**

*\*\*No files uploaded*

**Participant/program data sample report**

*\*\*No files uploaded*

**Market study or other analysis to verify the need for the project.**

*\*\*No files uploaded*

**Operating pro forma that includes rent and operating cost assumptions and all estimated loan payments, in electronic format.**

*\*\*No files uploaded*

# I. Emergency Shelter Only

Completed by phillip.e.weiner@nccourts.org on 11/17/2022 4:37 PM

**Case Id:** 16205  
**Name:** Phoenix Rising - 2023/24  
**Address:** \*No Address Assigned

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## I. Emergency Shelter Only

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\*\* Complete this section only if you are requesting funds for an Emergency Shelter project. \*\*  
If the section is not applicable to your project, please leave the questions blank and mark the step "Complete."

Prior to the beginning of any funding year, any ESG-funded program must participate in the local Homeless Management Information System (HMIS) designated by the Winston-Salem/Forsyth County Continuum of Care, or for domestic violence programs, a comparable database in accordance with HUD's standards.

### Emergency Shelter: Essential Services

Activity	Total Budget (\$)
Case Management	\$0.00
Child Care	\$0.00
Education Services	\$0.00
Employment Assistance	\$0.00
Job Training	\$0.00
Outpatient Health Services	\$0.00
Transportation	\$0.00
Legal Services	\$0.00
Services to Special Population	\$0.00
Overhead Costs (limited to 15% of total activity request)	\$0.00
	\$0.00

### Emergency Shelter: Operating Costs

Activity	Total Budget (\$)
Rent	\$0.00
Shelter Security	\$0.00
Fuel	\$0.00
Equipment	\$0.00
Insurance	\$0.00
Utilities	\$0.00
Food	\$0.00
Furnishings (limited to less than \$500 per item)	\$0.00
Supplies	\$0.00
Maintenance or Minor Repairs	\$0.00
Overhead Costs (limited to 15% of total activity request)	\$0.00
	\$0.00

## J. Rapid Rehousing and HMIS Only

Completed by phillip.e.weiner@nccourts.org on 11/17/2022 4:37 PM

Case Id: 16205  
Name: Phoenix Rising - 2023/24  
Address: \*No Address Assigned

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### J. Rapid Rehousing and HMIS Only

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\*\* Complete this section only if you are requesting funds for a Rapid Rehousing project.\*\*

If the section is not applicable to your project, please leave the questions blank and mark the step "Complete."

Prior to the beginning of any funding year, any ESG-funded program must participate in the local Homeless Management Information System (HMIS) designated by the Winston-Salem/Forsyth County Continuum of Care, or for domestic violence programs, a comparable database in accordance with HUD's standards.

#### Rapid Rehousing Financial Assistance

Activity	Total Budget (\$)
Rent Assistance	\$0.00
Rental Application Fees	\$0.00
Security Deposits	\$0.00
Last Month's Rent	\$0.00
Utility Deposits	\$0.00
Utility Payments	\$0.00
Moving Cost Assistance	\$0.00
Overhead Costs (limited to 15% of total activity request)	\$0.00

#### Rapid Rehousing Services

Activity	Total Budget (\$)
Case Management	\$0.00
Housing Search and Placement	\$0.00
Mediation	\$0.00
Legal Services	\$0.00
Credit Repair	\$0.00
Counseling	\$0.00
Information and Referral	\$0.00
Monitoring/Evaluation of Progress	\$0.00
Overhead Costs (limited to 15% of total activity request)	\$0.00

#### HMIS/Data Collection Budget

HMIS Activity	City ESG Request	State ESG Request
Staff Costs	\$0.00	\$0.00
Equipment	\$0.00	\$0.00
User Fees	\$0.00	\$0.00
	\$0.00	\$0.00

## Submit

Completed by phillip.e.weiner@nccourts.org on 11/17/2022 4:37 PM

**Case Id:** 16205

**Name:** Phoenix Rising - 2023/24

**Address:** \*No Address Assigned

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## Submit

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I certify that the applicant meets the conditions specified in the application instructions and will be able to carry out the proposed services in concert with these conditions. I also certify that the organization is a certified IRS 501(c)(3) non-profit organization.

Phillip E. Weiner

Electronically signed by phillip.e.weiner@nccourts.org on 11/17/2022 4:37 PM

# IDIS Setup

No data saved

**Case Id:** 16205

**Name:** Phoenix Rising - 2023/24

**Address:** \*No Address Assigned

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## IDIS Setup

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Please provide the following information.

**Project Name**

**National Objective**

**Activity Number ID**

**HUD Activity Code**

**Project Description**

**Accomplishment Type**

**Initial Application Date**

**Service Area**

**Ward**

**Census Tract(s)**

**Block/Group**

**MWBE**